

UTILITY PATENT  
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney  
Docket No.

980311

Total Pages

First Named Inventor or Application Identifier

Yosuke SHIRATA

Express Mail Label No.

PAGE 1 OF 3

APPLICATION ELEMENTS FOR:  
LITHOGRAPHY SYSTEM AND METHOD

ADDRESS TO: Director of Patents and Trademarks  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages **[29]**
3. ☒ Drawing(s) (35 USC 113) Total Sheets **[2]**
4. ☒ Oath or Declaration Total Pages **[2]**
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
- i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation by reference (usable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. a. ☐ Assignment Papers (cover sheet and documents)
- b. ☒ Assignment Papers to **NIKON CORPORATION** in the prior Application Serial No. **09/055,954**,  
filed on **July 6, 1998** has been recorded at Reel **9293**, Frame **0874** on **July 6, 1998**.
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney  
Docket No.

980511

First Named Inventor or Application Identifier

Yosuke SHIRATA

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations

12. ☒ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity status is claimed.

15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)

a. Priority of Japanese application no. 9-103814 filed on April 7, 1997 is claimed under 35 USC 119. The certified copy has been filed in prior application Serial No. 09/055,954. (For Continuing Applications, if applicable).

16. ☐ Other \_\_\_\_\_

17. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. 09/350,923

a. ☒ Please amend the specification by inserting after the title: --This application is a continuation of prior application Serial No. 09/350,923 filed July 12, 1999, which is a continuation of prior application Serial No. 09/055,954 filed April 7, 1998 --.

b. ☐ Cancel in this application original claims \_\_\_ of the prior application before calculating the filing fee.

JC760 U.S. PTO  
09/684898  
10/10/00

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$710.00
Total Claims	29 - 20	9	x \$18.00	\$162.00
Independent Claims	8 - 3	5	x \$80.00	\$400.00
Multiple Dependent Claims			\$270.00	
			Basic Filing Fee	\$1272.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$1272.00

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

**980511B**

First Named Inventor or Application Identifier

**Y suke SHIRATA**

**PAGE 3 OF 3**

☒ [XX] A check in the amount of \$ 1,272.00 is enclosed to cover the filing fee.

☐ [ ] Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

☒ [XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

**18. CORRESPONDENCE ADDRESS**

ARMSTRONG, WESTERMAN, HATTORI  
McLELAND & NAUGHTON  
1725 K Street, N.W. Suite 1000  
Washington, D.C. 20006  
Telephone: (202) 659-2930  
Facsimile: (202) 887-0357

**SUBMITTED BY**

Typed or Printed Name **Donald W. Hanson** Reg. No. 27,133

Signature  Date: October 10, 2000